



Cortell Australia Pty Ltd

CoreBIS

Testing Verification

30/11/2022



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Revision History

| Date | Version | Description | Author |
|------------|---------|-----------------|-----------------|
| 18/11/2022 | 0.1 | Create Document | Karl Blackler |
| 26/11/2022 | 0.2 | Review Document | Rodrigo Alvarez |
| 27/11/2022 | 1.0 | Review document | Karl Blackler |

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1. Summary

This document identifies what testing has occurred on each change in this Month's CoreBIS release.

2. Code Updates

2.1 CU134: APRA_Return_Version_Form - Make Form Version Static (Risk:M)

Code Update: APRA has been updating Form Version information for prior periods. This causes the mapping for those prior periods to lookup the incorrect form versions, which causes those forms to show blank for those periods.

This new fix has made the form versions static at the time the workflow is setup, so that these versions do not change.

Test Goals:

1. Validate that the script ran correctly (an export file was created with the existing APRA_Form_Version data) and the APRA_Form_Version is correct.
2. Regenerate a workflow and ensure only the required versions are set in the APRA_Return_Form Version cube.
3. Ensure that the Submission process copies the form version correctly in the APRA_Return_Form_Version cube.

Test Method:

Check that the Export_APRA_Form_Version.csv was created.

Data (E:) > ibm > CoreBIS_TST > temp

| Name | Date modified | Type | Size |
|------------------------------|----------------------|-----------------------|--------|
| CD_20221118143453.txt | 11/18/2022 2:34 PM | Text Document | 42 KB |
| UL_20221118143451.txt | 11/18/2022 2:34 PM | Text Document | 19 KB |
| Missing App Files.txt | 11/18/2022 2:03 PM | Text Document | 23 KB |
| Export_APRA_Form_Version.csv | 11/18/2022 11:53 ... | Microsoft Excel Co... | 210 KB |
| Copy_WF_User_Assignments.txt | 11/2/2022 10:17 A... | Text Document | 10 KB |

Check that the APRA_Return_Form Version cube has the APRA_Form_Version field completed. (Recreate the view below)

Cube Viewer: CoreBIS_TST->APRA_Return_Version_Form->Default

File Edit View Options Help

[Base]

| n-level Subset | n-level Subset | n-level Subset | APRA_Return_Version_Form_Measure | | |
|----------------|----------------------------|----------------|----------------------------------|-------------------|-------|
| | | | Required | APRA_Form_Version | Count |
| ARF_920_2 | W_2021-09-30_Submission | DOMESTIC:Cor | Y | ARF_920_2v1 | 1 |
| | W_2022-03-31_Submission | DOMESTIC:Cor | Y | ARF_920_2v1 | 1 |
| ARF_922_2 | W_2021-10-31_Submission | LEVEL2:CoreBI | Y | ARF_922_2v1 | 1 |
| | W_2021-10-31_Resubmission1 | LEVEL2:CoreBI | Y | ARF_922_2v1 | 1 |
| | D_2020-07-10 | LEVEL2:CoreBI | Y | ARF_922_2v1 | 1 |
| | D_2020-10-02 | LEVEL2:CoreBI | Y | ARF_922_2v1 | 1 |
| ARF_922_3 | W_2021-10-31_Submission | LEVEL1:CoreBI | Y | ARF_922_3v1 | 1 |
| | W_2021-10-31_Resubmission1 | LEVEL1:CoreBI | Y | ARF_922_3v1 | 1 |
| | D_2020-07-10 | LEVEL1:CoreBI | Y | ARF_922_3v1 | 1 |
| | D_2020-10-02 | LEVEL1:CoreBI | Y | ARF_922_3v1 | 1 |
| ARF_923_0 | W_2020-08-31_Submission | LEVEL2:CoreBI | Y | ARF_923_0v1 | 1 |
| | W_2020-09-30_Submission | LEVEL2:CoreBI | Y | ARF_923_0v2 | 1 |
| | W_2020-09-30_Resubmission1 | LEVEL2:CoreBI | Y | ARF_923_0v2 | 1 |
| | W_2021-03-31_Submission | LEVEL2:CoreBI | Y | ARF_923_0v2 | 1 |
| | W_2021-06-30_Submission | LEVEL2:CoreBI | Y | ARF_923_0v2 | 1 |
| | W_2021-09-30_Submission | LEVEL2:CoreBI | Y | ARF_923_0v2 | 1 |
| | W_2021-10-31_Submission | LEVEL2:CoreBI | Y | ARF_923_0v2 | 1 |
| | W_2021-03-31_Resubmission1 | LEVEL2:CoreBI | Y | ARF_923_0v2 | 1 |
| | W_2021-10-31_Resubmission1 | LEVEL2:CoreBI | Y | ARF_923_0v2 | 1 |
| | W_2022-03-31_Submission | LEVEL2:CoreBI | Y | ARF_923_0v3 | 1 |
| ARF_923_1 | W_2020-08-31_Submission | GRP_BKS:Core | Y | ARF_923_1v1 | 1 |
| | W_2020-09-30_Resubmission1 | GRP_BKS:Core | Y | ARF_923_1v2 | 1 |
| | W_2021-03-31_Submission | GRP_BKS:Core | Y | ARF_923_1v2 | 1 |
| | W_2021-06-30_Submission | GRP_BKS:Core | Y | ARF_923_1v2 | 1 |
| | W_2021-09-30_Submission | GRP_BKS:Core | Y | ARF_923_1v2 | 1 |
| | W_2021-03-31_Resubmission1 | GRP_BKS:Core | Y | ARF_923_1v2 | 1 |
| | W_2022-03-31_Submission | GRP_BKS:Core | Y | ARF_923_1v2 | 1 |
| ARF_923_2 | W_2020-08-31_Submission | DOMESTIC:Cor | Y | ARF_923_2v2 | 1 |
| | W_2020-09-30_Submission | DOMESTIC:Cor | Y | ARF_923_2v2 | 1 |
| | W_2020-09-30_Resubmission1 | DOMESTIC:Cor | Y | ARF_923_2v2 | 1 |
| | W_2021-03-31_Submission | DOMESTIC:Cor | Y | ARF_923_2v3 | 1 |
| | W_2021-09-30_Submission | DOMESTIC:Cor | Y | ARF_923_2v4 | 1 |
| | W_2021-10-31_Submission | DOMESTIC:Cor | Y | ARF_923_2v4 | 1 |
| | W_2021-03-31_Resubmission1 | DOMESTIC:Cor | Y | ARF_923_2v3 | 1 |
| ARF_923_3 | W_2021-10-31_Resubmission1 | DOMESTIC:Cor | Y | ARF_923_2v4 | 1 |
| | W_2020-09-30_Resubmission1 | LEVEL2:CoreBI | Y | ARF_923_3v1 | 1 |
| | W_2021-03-31_Submission | LEVEL2:CoreBI | Y | ARF_923_3v1 | 1 |
| | W_2021-06-30_Submission | LEVEL2:CoreBI | Y | ARF_923_3v1 | 1 |
| | W_2021-09-30_Submission | LEVEL2:CoreBI | Y | ARF_923_3v1 | 1 |
| | W_2021-03-31_Resubmission1 | LEVEL2:CoreBI | Y | ARF_923_3v1 | 1 |
| ARF_923_5 | W_2022-03-31_Submission | LEVEL2:CoreBI | Y | ARF_923_3v1 | 1 |
| ARF_923_5 | W_2021-03-31_Resubmission1 | DOMESTIC:Cor | Y | ARF_923_5v1 | 1 |

Regenerate the workflow.

CoreBIS® Demo Environment

Workflow Administration

Create New Workflow Version

Current Workflow Version: WF_2021-09-30_Submission

Select APRA Period: 2021-10-31

Submission Version: Submission

or type in:

Generate Workflow Version

Select Workflow Version: WF_2022-03-31_Submission

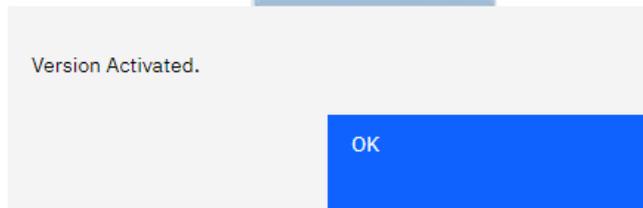
Created: 16:20:19 30 MAR 2022

Last Updated: 16:20:39 30 MAR 2022

Create Version Manage Versions Generate Workflow

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Ensure the working version of the regenerated period is completed.

Cube Viewer: CoreBIS_TST->APRA_Return_Version_Form->Default

File Edit View Options Help

W_2022-03-31_Submission

| n-level Subset | | APRA_Return_Version_Form_Measure | | |
|----------------|-----------------|----------------------------------|-------------------|-------|
| n-level Subset | n-level Subset | Required | APRA_Form_Version | Count |
| ARF_220_0_L | SLO_BKS:CoreBIS | Y | ARF_220_0_Lv3 | 1 |
| ARF_220_3 | SLO_BKS:CoreBIS | Y | ARF_220_3v16 | 1 |
| | GRP_BKS:CoreBIS | Y | ARF_220_3v16 | 1 |
| ARF_220_5 | SLO_BKS:CoreBIS | Y | ARF_220_5v13 | 1 |
| | GRP_BKS:CoreBIS | Y | ARF_220_5v13 | 1 |
| ARF_221_0 | LEVEL2:CoreBIS | Y | ARF_221_0v23 | 1 |
| | LEVEL1:CoreBIS | Y | ARF_221_0v23 | 1 |
| ARF_222_0 | LEVEL1:CoreBIS | Y | ARF_222_0v16 | 1 |
| ARF_223_0 | LEVEL2:CoreBIS | Y | ARF_223_0v7 | 1 |
| ARF_226_0 | LEVEL2:CoreBIS | Y | ARF_226_0v1 | 1 |
| ARF_230_0 | GRP_BKS:CoreBIS | Y | ARF_230_0v11 | 1 |
| ARF_322_0 | GRP_BKS:CoreBIS | Y | ARF_322_0v37 | 1 |
| ARF_330_0_C | GRP_BKS:CoreBIS | Y | ARF_330_0_Cv16 | 1 |

Delete some APRA_Form_Version (Development environment only) and regenerate the workflow again as an additional test.

| | | | | |
|------------|-----------------------|---|--------------|---|
| ARF_744_08 | DOMESTIC:CoreBIS No.1 | Y | ARF_744_08v8 | 1 |
| ARF_747_08 | DOMESTIC:CoreBIS No.1 | Y | ARF_747_08v8 | 1 |
| ARF_748_08 | DOMESTIC:CoreBIS No.1 | Y | ARF_748_08v5 | 1 |
| ARF_920_0 | DOMESTIC:CoreBIS No.1 | Y | | 1 |
| ARF_920_2 | DOMESTIC:CoreBIS No.1 | Y | | 1 |
| ARF_923_0 | LEVEL2:CoreBIS No.1 | Y | | 1 |
| ARF_923_1 | GRP_BKS:CoreBIS No.1 | Y | | 1 |
| ARF_923_3 | LEVEL2:CoreBIS No.1 | Y | | 1 |

Generate Workflow Version

Select Workflow Version: WF_2022-03-31_Submission

Created: 16:20:19 30 MAR 2022

Last Updated: 11:20:35 21 NOV 2022

Generate Workflow



The elements should appear back in the cube:

| | | | | |
|------------|-----------------------|---|--------------|---|
| ARF_744_0B | DOMESTIC:CoreBIS No.1 | Y | ARF_744_0Bv8 | 1 |
| ARF_747_0B | DOMESTIC:CoreBIS No.1 | Y | ARF_747_0Bv8 | 1 |
| ARF_748_0B | DOMESTIC:CoreBIS No.1 | Y | ARF_748_0Bv5 | 1 |
| ARF_920_0 | DOMESTIC:CoreBIS No.1 | Y | ARF_920_0v3 | 1 |
| ARF_920_2 | DOMESTIC:CoreBIS No.1 | Y | ARF_920_2v1 | 1 |
| ARF_923_0 | LEVEL2:CoreBIS No.1 | Y | ARF_923_0v3 | 1 |
| ARF_923_1 | GRP_BKS:CoreBIS No.1 | Y | ARF_923_1v2 | 1 |
| ARF_923_3 | LEVEL2:CoreBIS No.1 | Y | ARF_923_3v1 | 1 |

Submit (three level approval) in the workflow a Form Task in the period and ensure the Version is copied to the S Version of the Return Version period.

| Description of Task | APRA Due Date | Current Status | Notes | User | Timestamp | Comments |
|--|---------------|-------------------|-------|-------|-------------------|-------------------|
| Monthly Economic Financial Statistics Return 1 | | | | | | |
| ARF_720_0A (DOMESTIC:CoreBIS No.1) - ABS/RBA Statement of Financial Position (Star | 14/04/2022 | Submitted to APRA | | Admin | 21-NOV-2022 11:59 | Submitted to APRA |
| ARF_720_1A (DOMESTIC:CoreBIS No.1) - ABS/RBA Loans and Finance Leases (Standard) | 14/04/2022 | Submitted to APRA | | Admin | 21-NOV-2022 11:59 | Submitted to APRA |
| ARF_720_2A (DOMESTIC:CoreBIS No.1) - ABS/RBA Deposits (Standard) (Monthly Econo | 14/04/2022 | Submitted to APRA | | Admin | 21-NOV-2022 11:59 | Submitted to APRA |
| ARF_720_3 (DOMESTIC:CoreBIS No.1) - ABS/RBA Intra-group Assets & Liabilities (Mont | 14/04/2022 | Submitted to APRA | | Admin | 21-NOV-2022 11:59 | Submitted to APRA |
| ARF_720_4 (DOMESTIC:CoreBIS No.1) - ABS/RBA Debt Securities Held (Monthly Econo | 14/04/2022 | Submitted to APRA | | Admin | 21-NOV-2022 11:59 | Submitted to APRA |
| ARF_720_5 (DOMESTIC:CoreBIS No.1) - ABS/RBA Securities on Issue (Monthly Economi | 14/04/2022 | Submitted to APRA | | Admin | 21-NOV-2022 11:59 | Submitted to APRA |
| ARF_720_7 (DOMESTIC:CoreBIS No.1) - ABS/RBA Bill Acceptances and Endorsements (I | 14/04/2022 | Submitted to APRA | | Admin | 21-NOV-2022 11:59 | Submitted to APRA |

Cube Viewer: CoreBIS_TST->APRA_Return_Version_Form->Default

| APRA_Return_Version | | APRA_Return_Version_Form_Measure | | W_2022-03-31_Submission | | S_2022-03-31_Submission | |
|---------------------|-----------------------|----------------------------------|-------------------|-------------------------|----------|-------------------------|-------|
| APRA_Form_Version | APRA_Entity | Required | APRA_Form_Version | Count | Required | APRA_Form_Version | Count |
| ARF_720_0A | DOMESTIC:CoreBIS No.1 | Y | ARF_720_0Av8 | 1 | Y | ARF_720_0Av8 | 1 |
| ARF_720_0B | DOMESTIC:CoreBIS No.1 | | | 0 | | | 0 |
| ARF_720_1A | DOMESTIC:CoreBIS No.1 | Y | ARF_720_1Av5 | 1 | Y | ARF_720_1Av5 | 1 |
| ARF_720_1B | DOMESTIC:CoreBIS No.1 | | | 0 | | | 0 |
| ARF_720_2A | DOMESTIC:CoreBIS No.1 | Y | ARF_720_2Av2 | 1 | Y | ARF_720_2Av2 | 1 |
| ARF_720_2B | DOMESTIC:CoreBIS No.1 | | | 0 | | | 0 |
| ARF_720_3 | DOMESTIC:CoreBIS No.1 | Y | ARF_720_3v7 | 1 | Y | ARF_720_3v7 | 1 |
| ARF_720_4 | DOMESTIC:CoreBIS No.1 | Y | ARF_720_4v4 | 1 | Y | ARF_720_4v4 | 1 |
| ARF_720_5 | DOMESTIC:CoreBIS No.1 | Y | ARF_720_5v2 | 1 | | | 0 |
| ARF_720_6 | DOMESTIC:CoreBIS No.1 | Y | ARF_720_6v1 | 1 | Y | ARF_720_6v1 | 1 |
| ARF_720_7 | DOMESTIC:CoreBIS No.1 | Y | ARF_720_7v2 | 1 | Y | ARF_720_7v2 | 1 |
| ARF_721_0A | DOMESTIC:CoreBIS No.1 | | | 0 | | | 0 |
| ARF_721_0B | DOMESTIC:CoreBIS No.1 | | | 0 | | | 0 |
| ARF_722_0 | DOMESTIC:CoreBIS No.1 | | | 0 | | | 0 |
| ARF_723_0 | DOMESTIC:CoreBIS No.1 | | | 0 | | | 0 |

Test Status: **Success**

2.2 CU162: Weighted Average calculation for multiple DataSources (Risk:H)

Code Update: There are many forms that have tables and attributes with interest rates. If a client needs to map data from multiple sources, the interest rate must be averaged to report the correct value.

This code update added a Weighted Average calculation for those attributes identified as requiring the average formula applied. This new calculation will only apply to new versions going forward, so existing APRA_Return_Versions will not utilise this new method.

Test Goal: Interest rates are averaging when there are multiple datasources.

Test Method: Map 2 datasources to a table on the ARF_747_OB and test that the interest rate is an average of the 2 sources.

ARF_747_OB: ABS/RBA Deposit Stocks, Flows and Interest Rates (Reduced)

Effective date: 1 September 2021

| | |
|-----------------------------------|-------------------------|
| Australian Business Number | Institution Name |
| 12345678910 | CoreBIS No 1 |
| Reporting Period | Scale Factor |
| 2022-06-30 | |
| Reporting Consolidation | |
| DOMESTIC | |

1. Deposits outstanding - by counterparty & deposit type

| Counterparty (1) | Deposit type (2) | Number (3) | Value (4) | Interest rate (5) |
|--|-----------------------|------------|---------------|-------------------|
| Financial institutions - non-related parties | Fixed-term | 2,096.0 | 692,958,759.0 | 1.8 |
| Financial institutions - non-related parties | Other non-transaction | 452.0 | 153,016,376.0 | 0.0 |

The calculation should be;

$$((608,707,428 * 1.76) + (84,251,331 * 2.15)) / 692,958,759 = 1.81$$

Test Status: Success

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2.3 CU164: Running data loads / tagging in background cause rollback loop (Risk:M)

Code Update: When clients run the tagging in the background for multiple cubes at the same time, there can be contention, causing one or more of the processes to roll back and start again. In some cases this contention can cause the infinite loop as one rolls back the other executes and then at completion it rolls back as the other starts. To stop this occurring and to stop this added contention we have added a flag to only allow the tagging to run for one cube at a time, thus causing a queue of processes to execute one after the other.

Test Goal: Run two tagging loading processes simultaneously with the "Run in the background" and the "Include Table Mapping Update" options and check that no recursive looping happens (constant emails appear reloading the processes).

Test Method: Open two sessions with a CoreBuild license user. Open the screen of the CoreBuild cube and ensure two Tagged cubes can run. Select "Run in the background" and the "Include Table Mapping Update" check marks in both sessions.

Session 1:

The screenshot shows the CoreBIS TAGGING DATA LOAD interface. The status is "Unlocked". The "Select Tagged Cube" dropdown is set to "TAGGED_Loan_Book". The "Select Period" is "2021-09-30". The "Load Date" is empty. The "Select Tag Version" is empty. The "Run in Background" and "Include Table Mapping Update" checkboxes are checked. The "Default = Last Updated" is "2022-11-21 09:45:41". The "Default = Submission" is "Submission".

Session 2:

The screenshot shows the CoreBIS TAGGING DATA LOAD interface. The status is "Unlocked". The "Select Tagged Cube" dropdown is set to "TAGGED_Residential_Mortgages". The "Select Period" is "2021-09-30". The "Load Date" is empty. The "Select Tag Version" is empty. The "Run in Background" and "Include Table Mapping Update" checkboxes are checked. The "Default = Last Updated" is "2022-11-21 09:44:02". The "Default = Submission" is "Submission".

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Click the Update Tagged cube in session one and immediately click the same button in the session two screens.

Using the TM1Top screen monitor, we can see that the second process is “on-hold” waiting for the first process to finish.

| Id | Username | Context | State | Function | Object Type | Object Name | Info | Time(s) |
|-------|------------------|---------|----------|----------|-------------|---|-------------------|---------|
| 13456 | Th:Pseudo | - | Idle | - | - | - | - | 0 |
| 12184 | Th:DynamicConfig | - | Idle | - | - | - | - | 0 |
| 18628 | Admin | :29 | Wait:IXC | - | - | - | WaitingOn (19536) | 17 |
| 19840 | Admin | TM1 Web | Idle | - | - | - | - | 0 |
| 11896 | Admin | TM1 Web | Idle | - | - | - | - | 0 |
| 17160 | Admin | TM1 Web | Idle | - | - | - | - | 0 |
| 19536 | Admin | :31 | Run:R | - | POST | /api/v1/Processes('CB_UPDATE_T-gged')/tm1.ExecuteWithReturn | - | 21 |
| 17552 | Admin | TM1 Web | Idle | - | - | - | - | 0 |

The thread that executed the first process finished normally.

```

19536 [1f] INFO 2022-11-21 10:12:41.534 TM1.Process Process "Lib_Send_Email" run from process "CB_UPDATE_Tagged" by user "Admin"
19536 [1f] INFO 2022-11-21 10:12:41.645 TM1.Process Process "Lib_Send_Email": finished executing normally, elapsed time 0.11 seconds
19536 [1f] INFO 2022-11-21 10:12:41.645 TM1.Process Process "DIM_APRA_Attribute_Table_Mapping" run from process "CB_UPDATE_Tagged" by user "Admin"
19536 [1f] INFO 2022-11-21 10:12:41.809 TM1.Process Process "DIM_APRA_Attribute_Table_Mapping": finished executing normally, elapsed time 0.16 seconds
19536 [1f] INFO 2022-11-21 10:12:41.824 TM1.Process Process "CB_UPDATE_Tagged": finished executing normally, elapsed time 47.22 seconds
18628 [1d] INFO 2022-11-21 10:12:42.440 TM1.Process Process "CB_UPDATE_Tagged" executed by user "Admin"
  
```

After that, the second thread is executed. With no holding processes.

| Id | Username | Context | State | Function | Object Type | Object Name | Info | Time(s) |
|-------|------------------|---------|-------|----------|-------------|---|------|---------|
| 13456 | Th:Pseudo | - | Idle | - | - | - | - | 0 |
| 12184 | Th:DynamicConfig | - | Idle | - | - | - | - | 0 |
| 18628 | Admin | :29 | Run:R | - | POST | /api/v1/Processes('CB_UPDATE_T-gged')/tm1.ExecuteWithReturn | - | 17 |
| 19840 | Admin | TM1 Web | Idle | - | - | - | - | 0 |
| 11896 | Admin | TM1 Web | Idle | - | - | - | - | 0 |
| 17160 | Admin | TM1 Web | Idle | - | - | - | - | 0 |
| 17552 | Admin | TM1 Web | Idle | - | - | - | - | 0 |

Test Status: Success

2.5 CU175: CoreBUILD > Edit Source checking active cell (Risk:L)

Code Update: An error message would show up when a user selected a cell that wasn't in the table. This has been resolved.

Test Goal: Ensure that a user doesn't get an error message when a cube is not selected, and a button is pressed.

Test Method: Log into CoreBIS and go to the CoreBuild Cubes screen. Select any cell above the Cube Table and click Edit Source

Error before the change:

The screenshot shows the CoreBIS CoreBUILD CUBES interface. A table lists various cubes with columns for Cube Name, Cube Type, Auto Update, Data Load Source, and Last Updated. An error dialog box is overlaid on the table, displaying the message: "Workbook error Failed to open the target workbook 'Applications/CoreBUILD/Base Data/Cube Load 0.xlsx' as the file does not exist." The dialog has an "OK" button.

| Cube Name | Cube Type | Auto Update | Data Load Source | Last Updated |
|-------------------------------------|-----------|-------------|------------------|---------------------|
| ATTR_BASE_CostCentre | ATTR | N | | |
| ATTR_BASE_CPTL_RB_Product | ATTR | N | | |
| ATTR_BASE_CPTL_RB_Product_FC_Group | ATTR | N | | |
| ATTR_DS_Deal | ATTR | N | TEXTFILE | 2021-02-18 13:29:46 |
| ATTR_RM_Product | ATTR | N | ODBC | |
| ATTR_TAGGED_Testing_Measure | ATTR | | | |
| BASE_220_0_Exposures_and_Provisions | BASE | | TEXTFILE | 2021-10-20 14:29:46 |
| BASE_BEAR_Details | BASE | N | | |
| BASE_BusinessLoans | BASE | | TEXTFILE | 2021-12-03 14:46:00 |
| BASE_CPTL_Retail_Balances | BASE | N | ODBC | 2020-04-08 17:08:00 |
| BASE_Credit_Cards | BASE | | TEXTFILE | 2021-06-29 15:52:00 |
| BASE_Debt_Security | BASE | Y | ODBC | 2022-10-21 02:03:00 |
| RASF Fee Allorration | RASF | | | |

After the fix, no error should appear.

The screenshot shows the CoreBIS CoreBUILD CUBES interface after the fix. The error dialog box is no longer present. The table now includes columns for Update Frequency and Update Next.

| Cube Name | Cube Type | Auto Update | Data Load Source | Last Updated | Update Frequency | Update Next |
|------------------------------------|-----------|-------------|------------------|---------------------|------------------|-------------|
| ATTR_BASE_CostCentre | ATTR | N | | | ONE OFF | INACTIVE |
| ATTR_BASE_CPTL_RB_Product | ATTR | N | | | | INACTIVE |
| ATTR_BASE_CPTL_RB_Product_FC_Group | ATTR | N | | | | INACTIVE |
| ATTR_DS_Deal | ATTR | N | TEXTFILE | 2021-02-18 13:29:46 | | INACTIVE |
| ATTR_RM_Product | ATTR | N | ODBC | | | INACTIVE |

Test Status: Success

2.6 CU176: File Formatter Screen, Lite cube, period defaults to APRA Return Data instead of period. (Risk:M)

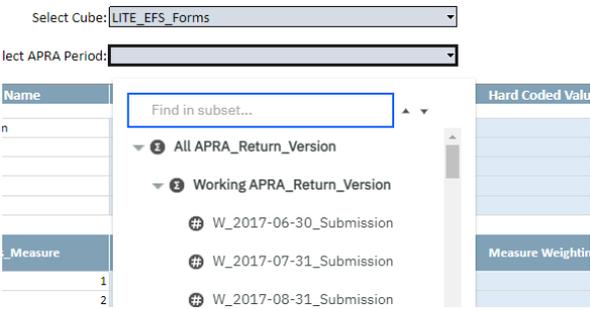
Code Update: The file formatter screen was referencing the APRA_Return_Version instead of the Reporting Period for Lite cubes. This has been updated to reference the reporting period.

Test Goal: The selection should reference the period and not the APRA Return Version.

Test Method: Check before and after the change for a particular lite cube.

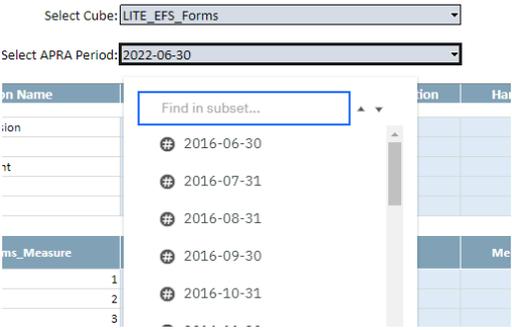
Before the change it is referencing the APRA_Return_Version

TEXT FILE FORMATTER



After the change it is referencing the Reporting_Period.

BIS TEXT FILE FORMATTER



Test Status: Success

3. Enhancements to Existing Features

3.1 EF115: Clean up: Add a comment field for many screens, and clean up formatting of screens, and check button colours. (Risk:H)

Code Update: We have reviewed all of the frontends for consistency of colours so that data entry, read-only, selectors and titles are all coloured in a consistent manner. We have updated circa 70 frontends.

Test Goal: The frontend screens are consistent within CoreBIS and CoreBuild modules.

Test Method: Open several forms in the CoreBIS and CoreBuild modules ensuring that the worksheets in the model have the following:

- Light grey for selections involving objects
- Light Blue for selections or input fields involving data entry
- White cells for read-only cells
- Middle and teal-aligned column titles in tables
- Missing top borders are consistent with the rest of the bordered boxes.

Sample view:

CoreBIS TAGGING VERSIONS

DM3 - EmployerGroupStatusDimension

Selected SBR Dimension: SBR_220_0_IRB_Asset_Class
Tagged Version: Submission

Tagging Version Report

This version is used on these periods:

| APRA Period | Tagging Version | Assumptions Locked | Tagging Locked | Data Locked |
|-------------|-----------------|--------------------|----------------|-------------|
| 2021-12-31 | | | | UNLOCKED |
| 2022-01-31 | | | | UNLOCKED |
| 2022-02-28 | | | | UNLOCKED |
| 2022-03-31 | TV1 | LOCKED | LOCKED | LOCKED |

Tagging Version: TV1 LOCKED

Create New Version

Save, Lock and Copy

Reset Version

Tagging

| Level 1 | Dimension | Attribute |
|---------|------------------------------|-----------|
| 1 | BASE_220_0_Exposures_and_Pri | |
| 2 | | |
| 3 | | |
| 4 | | |

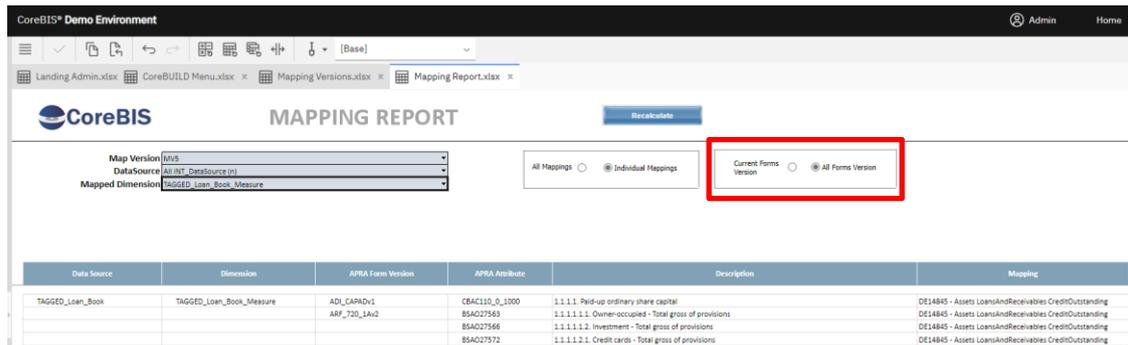
Test Status: Success

3.2 EF135: Update "Used in Mapping" report - Current Form Version (Risk:L)

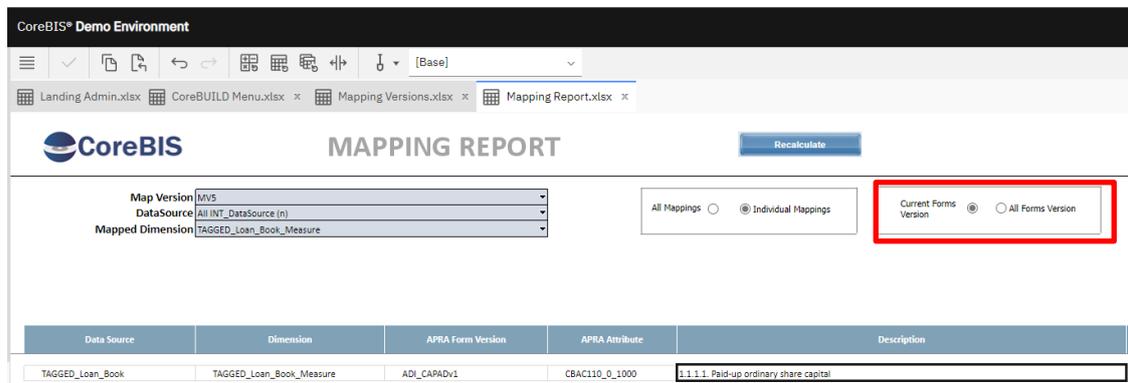
Code Update: The "Used in Mapping" report displays all form versions with mapping, these can include form versions that are not relevant to the current period. A new toggle has been added to the form to show/hide these form versions.

Test Goal: Check that the Mapping Report works with the new toggle functionality.

Test Method: Open the Mapping Report from the CoreBuild Menu -> Mapping -> Dimension Mapping Report.



Toggle the form to filter just the current versions or all forms. Filtered report should appear in order and only for the current form versions.



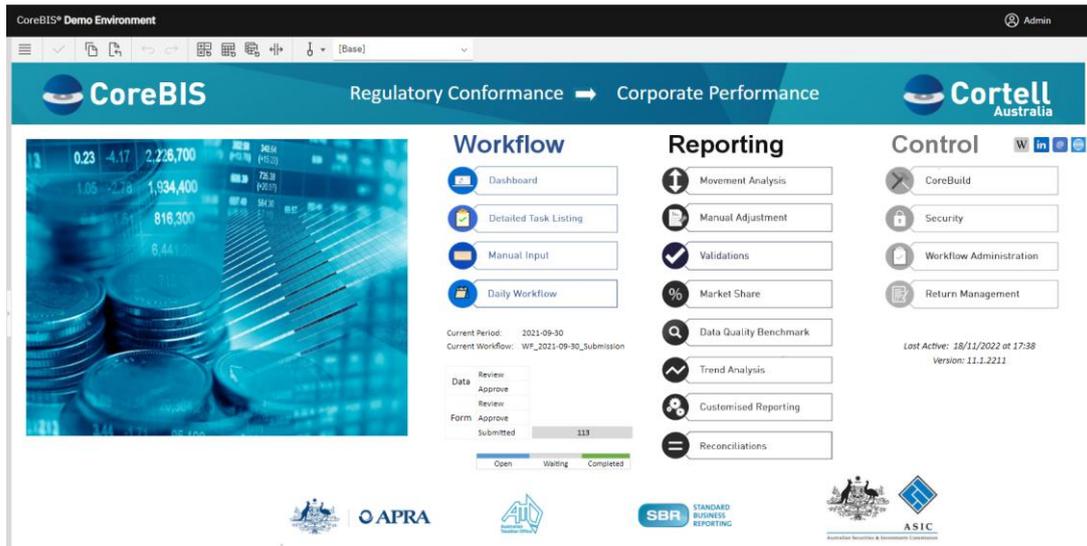
Test Status: Success

3.3 EF137: New Modern Menu (Risk:L)

Code Update: The main landing page has been updated in-line with Cortell's corporate branding of the product.

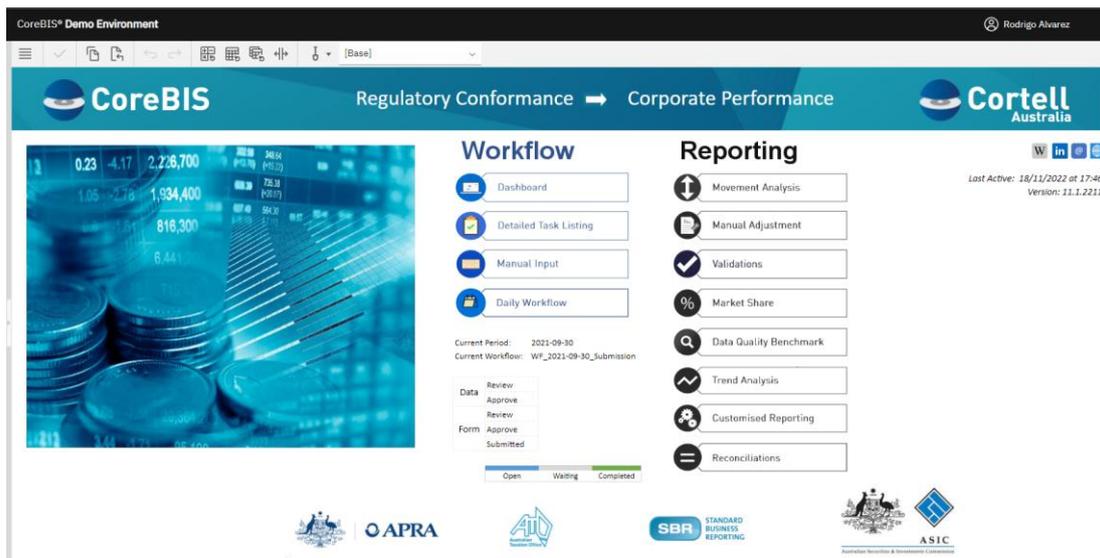
Test Goal: The new modern menu appears in the CoreBIS intro page, and its links and components work.

Test Method: Log into CoreBIS and visualise the new modern menu as an admin:



The button should direct the user to the correct links and the version number is 11.1.2211

Do the same with an average user (different menu with no control)



Test Status: Success

4. New Features

4.1 NF45 P5: Integrate CoreBIS with APRA Connect. (Risk: M)

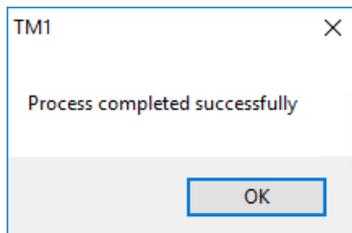
Code Updates: Updates to include 110/112 setups to include:

1. New ARS_110 Form
Integration of the ARS_110 form into CoreBIS. Cortell has made up a set of attributes so that the form functions the same way as the D2A forms.
2. Updates to the Taxonomy for 112
APRA has released an updated taxonomy, and the form is now finalized.

Test Goal: Ensure the new taxonomy imports and updates correctly

Test Method: Run the **MASTER_D2A_Master** process and review execution

1. Update all the APRA folder content
2. Run the process



3. No error logs

Test Status: **Success**

Test Goal: Ensure the new ARS_110 is available

Test Method:

1. Add the ARS_110 into the Return Management screen

APRA Connect Management Table:

| Name | Reference | Revision | Categories | Status | End Date | Due Date | Reporting Consolidation | Validation |
|-----------|----------------|----------|------------|--------|------------|------------|-------------------------|------------|
| 1 ARS_112 | ADI_CRSTDV1 | | | open | 2022-06-30 | 2032-06-30 | LEVEL1 | Valid |
| 2 ARS_112 | ADI_CRSTDV1 | | | open | 2022-06-30 | 2032-06-30 | LEVEL2 | Valid |
| 3 ARS_110 | ADI_CAPADV3234 | | | open | 2022-06-30 | 2032-06-30 | LEVEL1 | Valid |
| 4 ARS_110 | ADI_CAPADV3234 | | | open | 2022-06-30 | 2032-06-30 | LEVEL2 | Valid |
| 5 | | | | | | | | Skip |
| 6 | | | | | | | | Skip |

APRA Return Management Table:

| APRA Entity | APRA Return | APRA Form Variant | receivedData | Precision | Scale | Version | Due Date | Prev Period Version | New / Change | Run Monthly |
|-------------------------------------|---|-------------------|--------------|-----------|-------|---------|------------|---------------------|--------------|-------------|
| AC_LEVEL1:Cortell Australia Pty Ltd | ARS 112.0 Capital Adequacy - Standardised | ADI_CRSTD | y | | 0 | 1.1 | 30/06/2022 | | New | |
| AC_LEVEL1:Cortell Australia Pty Ltd | ARS 110.0 Capital Adequacy | ADI_CAPAD | y | | 0 | 1.1 | 30/06/2022 | | New | |
| AC_LEVEL2:Cortell Australia Pty Ltd | ARS 112.0 Capital Adequacy - Standardised | ADI_CRSTD | y | | 0 | 1.1 | 30/06/2022 | | New | |
| AC_LEVEL2:Cortell Australia Pty Ltd | ARS 110.0 Capital Adequacy | ADI_CAPAD | y | | 0 | 1.1 | 30/06/2022 | | New | |

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2. Setup a workflow including this form.

Generate Workflow Version

Select Workflow Version:

Created:

Last Updated:

Version Activated.

| | | |
|--|------------|--------------------------|
| ARS 112.0 Capital Adequacy - Standardised Approach to Credit Risk | | |
| ADI_CRSTD (AC_LEVEL1:Cortell Australia Pty Ltd) - ARS 112.0 Capital Adequacy - Stand | 30/06/2032 | Waiting on Data Approval |
| ADI_CRSTD (AC_LEVEL2:Cortell Australia Pty Ltd) - ARS 112.0 Capital Adequacy - Stand | 30/06/2032 | Waiting on Data Approval |
| ARS 110.0 Capital Adequacy | | |
| ADI_CAPAD (AC_LEVEL1:Cortell Australia Pty Ltd) - ARS 110.0 Capital Adequacy (ARS 11 | 30/06/2032 | Waiting on Data Approval |
| ADI_CAPAD (AC_LEVEL2:Cortell Australia Pty Ltd) - ARS 110.0 Capital Adequacy (ARS 11 | 30/06/2032 | Waiting on Data Approval |

3. Manually enter some numbers on the form.

CoreBIS

APRA Entity:

INT DataSource:

APRA Return Version:

ARS_110_0 - Capital Adequacy

Institution Name:

Australian Business Number:

Reporting Period:

Reporting Consolidation:

Section A: Regulatory Capital

1. Tier 1 capital

1.1. Common Equity Tier 1 Capital

1.1.1. Common Equity Tier 1 Capital before regulatory adjustments

1.1.1.1. Paid-up ordinary share capital

1.1.1.2. Mutual Equity interests

1.1.1.3. Retained earnings

1.1.1.4. Current year earnings (excluding upfront fee income)

1.1.1.5. Current year earnings - Upfront fee income

1.1.1.6. Accumulated other comprehensive income (and other reserves):

1.1.1.6.1. Unrealised gains and losses on investment securities

1.1.1.6.2. Gains and losses on cash flow hedges

1.1.1.6.3. Foreign currency translation reserve

1.1.1.6.4. Unrealised gains and losses from a foreign currency hedge of a net investment in a foreign operation

1.1.1.6.5. Property revaluation reserve

4. Check derivations are calculating.

5. Values showing on the Movement report.

CoreBIS **MOVEMENT REPORT**

Conditional Thresholds

APRA Entity:

APRA Form Variant:

DataSource:

Return Version 1:

Return Version 2:

Value:

%:

AND:

| APRA Form Variant | APRA Entity | Attribute | Description | W_2022-06-30_Submission | Variance \$ | Variance % |
|-------------------|-----------------------------|----------------|---|-------------------------|-------------|------------|
| ADI_CAPAD | AC_LEVEL2:Cortell Australia | CBAC110_0_1000 | 1.1.1.1. Paid-up ordinary share capital | 5,000,000 | 5,000,000 | #DIV/0! |
| | | CBAC110_0_1002 | 1.1.1.3. Retained earnings | 6,000,000 | 6,000,000 | 100% |
| | | CBAC110_0_1005 | 1.1.1.6. Accumulated other comprehensive income (and other reserves): | 4,000,000 | 4,000,000 | 100% |
| | | CBAC110_0_1007 | 1.1.1.6.2. Gains and losses on cash flow hedges | 4,000,000 | 4,000,000 | 100% |
| | | CBAC110_0_1051 | 1.1.3. Common Equity Tier 1 Capital | 15,000,000 | 15,000,000 | 100% |
| | | CBAC110_0_1061 | 1.4. Tier 1 Capital | 15,000,000 | 15,000,000 | 100% |
| | | CBAC110_0_1070 | 3. Total Capital | 15,000,000 | 15,000,000 | 100% |

Test Status: **Success**

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Test Goal: Ensure the new ARS_112 still functions as expected.

Test Method:

1. Review the ARS_112 table
 - a. Rerun the table in table mapping
 - b. Check output of csv
2. Check XML output.

CoreBIS ARS 112.0 - Data Loads Recalculate

APRA Entity: AC_LEVEL2:Cortell Australia Pty Ltd
 INT DataSource: All INT DataSource (n)
 APRA Return Version: W_2022-06-30_Submission

[Review Table 1 Definition](#)

Export CSV File

- Summary
- Detailed
- As per APRA

| Data Source | Exposure \$ Before CRM and CCF | Exposure \$ After CRM and CCF | Average RWA % | Calculated RWA \$ |
|------------------------------|--------------------------------|-------------------------------|---------------|-------------------|
| Total | 2,634,273,689.44 | 2,634,273,689.44 | 35.00% | 921,995,791.31 |
| Adjustment | - | - | - | - |
| All Unrounded Sources | 2,634,273,689.44 | 2,634,273,689.44 | 35.00% | 921,995,791.31 |
| TAGGED_Residential_Mortgages | 2,634,273,689.44 | 2,634,273,689.44 | 35.00% | 921,995,791.31 |

Name

- AC_2022-06-30_ARS 112.0 Capital Adequacy - Standardised Approach to Credit Risk_ADI_CRSTD_ADI_CRSTD_
- AC_2022-06-30_ARS 112.0 Capital Adequacy - Standardised Approach to Credit Risk_ADI_CRSTD_ADI_CRSTD_
- AC_2022-06-30_ARS 112.0 Capital Adequacy - Standardised Approach to Credit Risk_ADI_CRSTD_ADI_CRSTD_
- AC_2022-06-30_ARS 112.0 Capital Adequacy - Standardised Approach to Credit Risk_ADI_CRSTD_ADI_CRSTD_

Test Status: Success

5. New Forms Versions

- ARS_110_Ov1
- ARS_112_Ov1