Cortell Australia Pty Ltd

CoreBIS Workflow Redesign Release Notes

31/01/2024

Cortell Australia Pty Ltd ABN: 86 096 725 567 GITC Q-4255

Brisbane: Level 4, Suite 4, 80 Ann Street, Brisbane, QLD 4000
Sydney: Suite 303, Level 3, 460 Pacific Highway, St Leonards, NSW 2065
Melbourne: Level 13, Suite 1306,401 Docklands Drive, Docklands, VIC 3008
Canberra: Level 1, The Realm, 18 National Circuit, Barton, ACT, 2600
Tel: +61 2 9438 3940 Fax: +61 2 9438 3947
Web: www.cortell.com.au e-mail: info@cortell.com.au

Revision History

Date	Version	Description	Author
15/01/2024	0.1	Create Document	Bree Stickens
27/01/2024	1.0	Review Document	Karl Blackler

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1. Summary

This document sets out the changes made to CoreBIS in this release. The release is limited to enhancements to the Workflow. These enhancements will be applied to a select number of CoreBIS users in the development environment for Beta testing.

The workflow enhancements will be rolled out to all CoreBIS users once Beta testing has been completed.

2. Enhancements to Existing Features

2.1 EF168: Workflow Redesign

The limitations of the CoreBIS workflow have led some users to bypass the parameters of the existing process and submit all tasks once the monthly reporting has been completed. In August 2023, a CAB working group meeting was held to discuss plans to enhance and redesign the Workflow.

The redesign will enable CoreBIS users to utilise the Workflow better and improve the auditability of the form and data submission process. The user will also have the option to continue the Workflow using the current process if it already meets the requirements.

The Form tasks cannot be completed without signing off and locking the data sources. This enhancement allows the forms to be submitted and completed before the data source is locked.

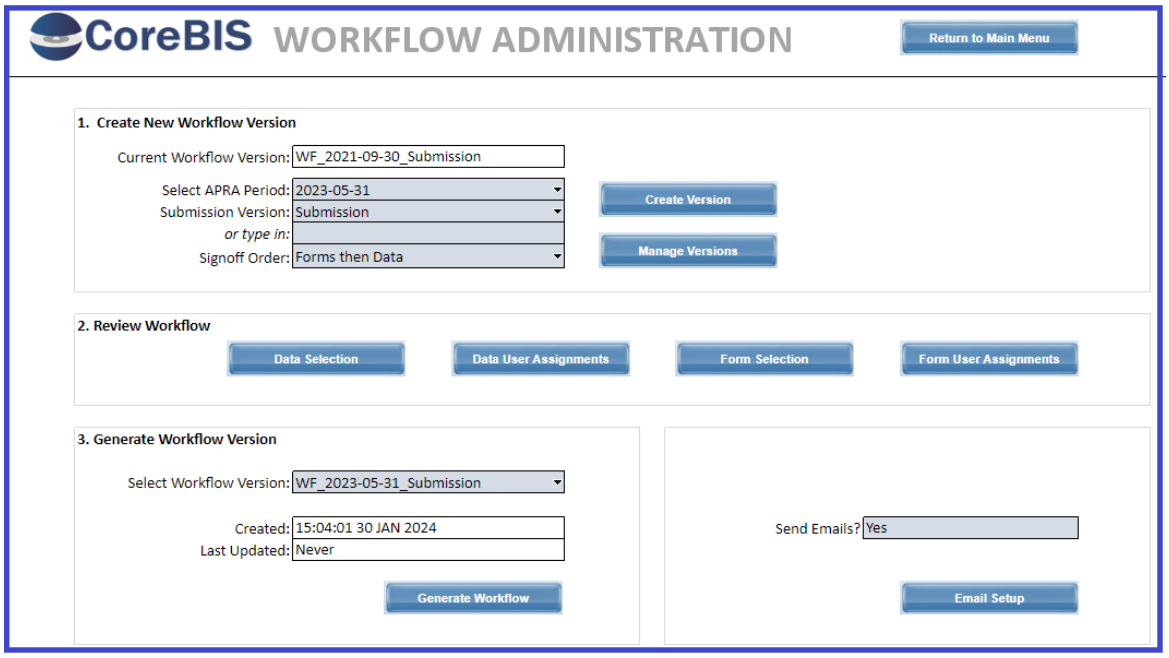
Where the forms are submitted before the data is approved and locked, the form will be copied to values in a submitted version to prevent further changes. In addition, a data movement report is added to allow the user to identify any changes in the tagged data that occurred after the form was approved.

2.1.1 CB-272 Update the Workflow Creation

The workflow administration tab has been updated to include a drop-down selection box when creating a workflow version to select Forms, then Data or Data, then Forms.

If Forms then Data is selected, forms can be approved and submitted before data is locked. If this is selected, a “Data Movement Report” Task will be added to the data tasks. The Data Movement Report must have a level 1 and 2 approver who will sign off on the data movement report to finalise the Workflow.

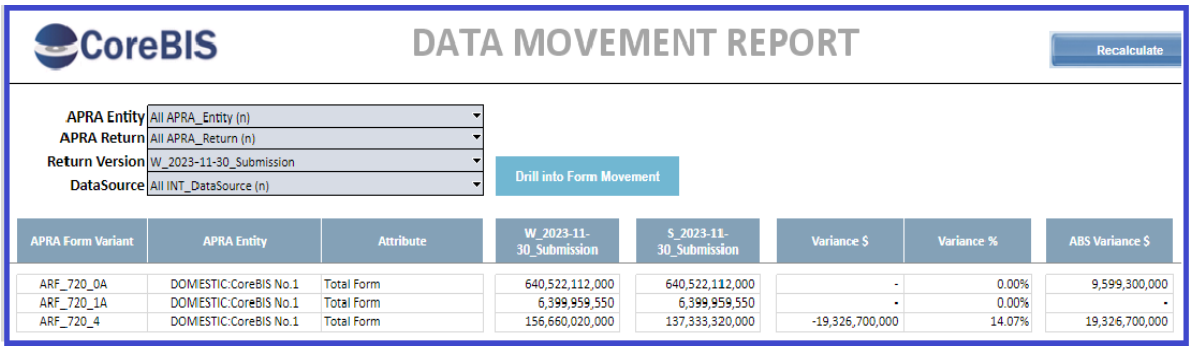
If Data then Forms is selected, then forms cannot progress through Workflow until data is signed off as per the current process.



2.1.2 CB-275 Data Movement Report

The enhancement to the Workflow allows the user to submit forms for approval in CoreBIS and submit those forms to APRA without first locking the tagged data versions. This means changes can continue to be made to the base, tagged data, and form mapping. This can change the data in the working versions of forms already submitted. If changes are made, this needs to be highlighted to the user so they can amend the change if needed or confirm the difference is expected.

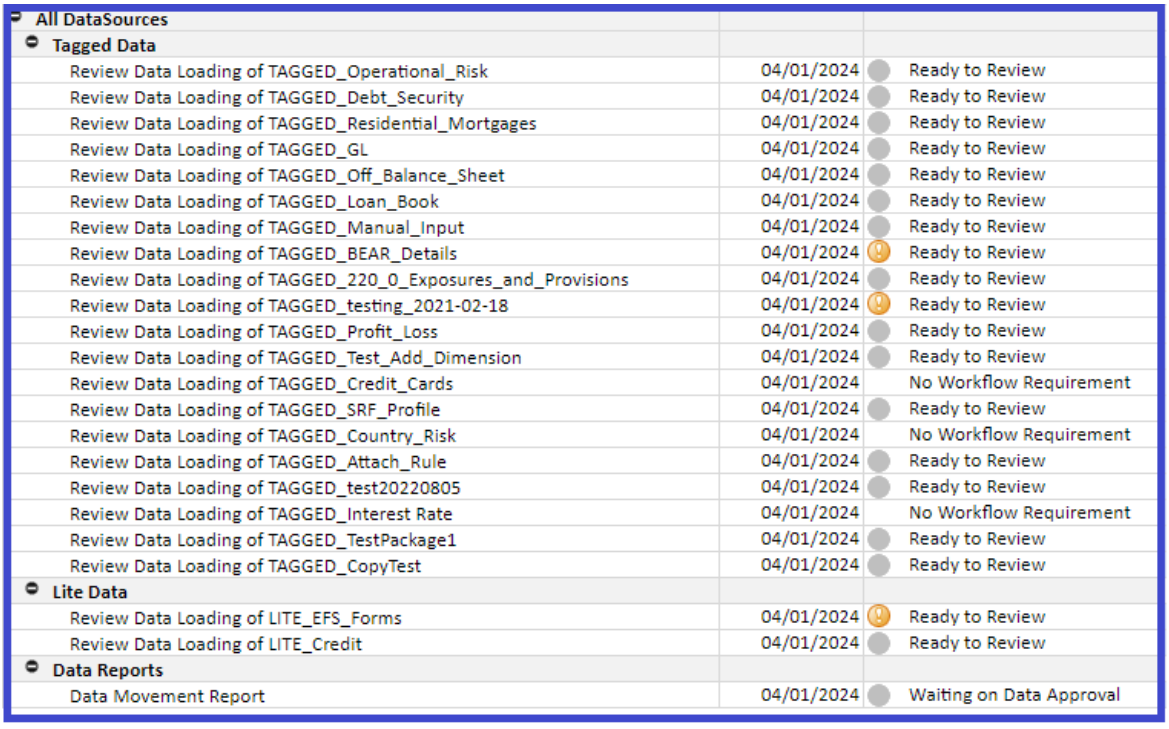
The data movement report compares the working version of a form with the submitted version and highlights any variances. Included is the absolute variance to indicate variances that net out at the total form level. This will direct the user to a change in the data that did not change the total value reported on the form but indicates changes in mapping.



APRA Form Variant	APRA Entity	Attribute	W_2023-11-30_Submission	S_2023-11-30_Submission	Variance $	Variance %	ABS Variance $
ARF_720_0A	DOMESTIC:CoreBIS No.1	Total Form	640,522,112,000	640,522,112,000	-	0.00%	9,599,300,000
ARF_720_1A	DOMESTIC:CoreBIS No.1	Total Form	6,399,959,550	6,399,959,550	-	0.00%	-
ARF_720_4	DOMESTIC:CoreBIS No.1	Total Form	156,660,020,000	137,333,320,000	-19,326,700,000	14.07%	19,326,700,000

2.1.3 CB-276 Add Data Movement Report to Workflow Overview

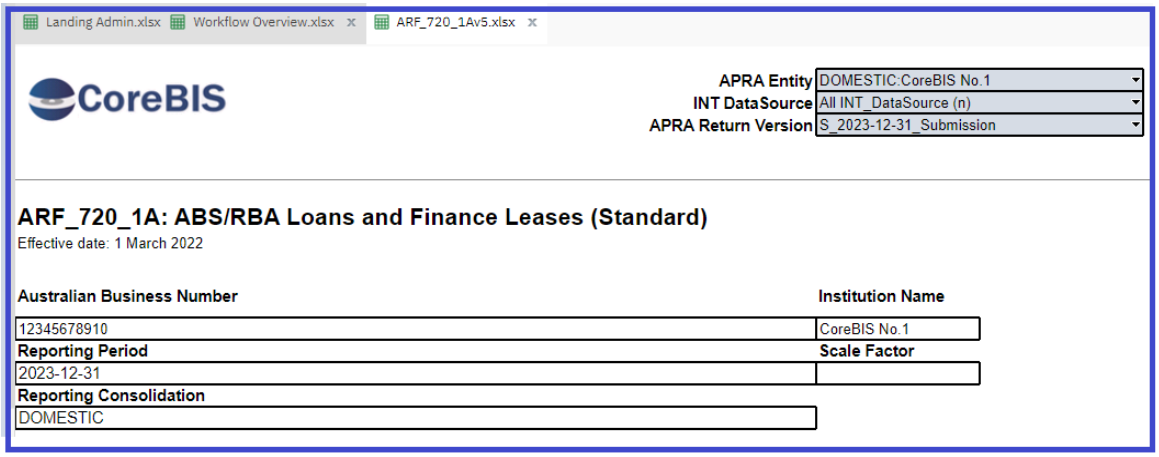
The data movement report is added as a task to be reviewed and submitted by level one and two users in the workflow overview.



All DataSources		
Tagged Data		
Review Data Loading of TAGGED_Operational_Risk	04/01/2024	Ready to Review
Review Data Loading of TAGGED_Debt_Security	04/01/2024	Ready to Review
Review Data Loading of TAGGED_Residential_Mortgages	04/01/2024	Ready to Review
Review Data Loading of TAGGED_GL	04/01/2024	Ready to Review
Review Data Loading of TAGGED_Off_Balance_Sheet	04/01/2024	Ready to Review
Review Data Loading of TAGGED_Loan_Book	04/01/2024	Ready to Review
Review Data Loading of TAGGED_Manual_Input	04/01/2024	Ready to Review
Review Data Loading of TAGGED_BEAR_Details	04/01/2024	Ready to Review
Review Data Loading of TAGGED_220_0_Exposures_and_Provisions	04/01/2024	Ready to Review
Review Data Loading of TAGGED_testing_2021-02-18	04/01/2024	Ready to Review
Review Data Loading of TAGGED_Profit_Loss	04/01/2024	Ready to Review
Review Data Loading of TAGGED_Test_Add_Dimension	04/01/2024	Ready to Review
Review Data Loading of TAGGED_Credit_Cards	04/01/2024	No Workflow Requirement
Review Data Loading of TAGGED_SRF_Profile	04/01/2024	Ready to Review
Review Data Loading of TAGGED_Country_Risk	04/01/2024	No Workflow Requirement
Review Data Loading of TAGGED_Attach_Rule	04/01/2024	Ready to Review
Review Data Loading of TAGGED_test20220805	04/01/2024	Ready to Review
Review Data Loading of TAGGED_Interest Rate	04/01/2024	No Workflow Requirement
Review Data Loading of TAGGED_TestPackage1	04/01/2024	Ready to Review
Review Data Loading of TAGGED_CopyTest	04/01/2024	Ready to Review
Lite Data		
Review Data Loading of LITE_EFS_Forms	04/01/2024	Ready to Review
Review Data Loading of LITE_Credit	04/01/2024	Ready to Review
Data Reports		
Data Movement Report	04/01/2024	Waiting on Data Approval

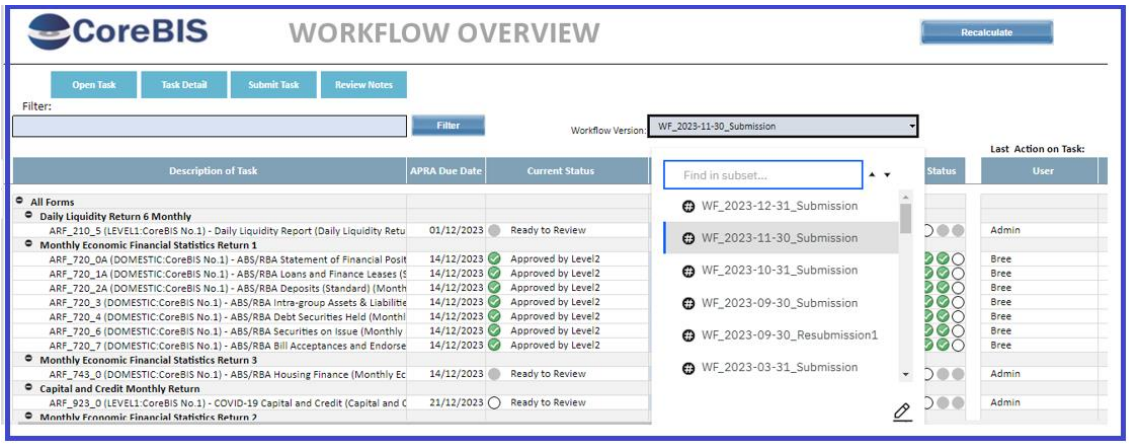
2.1.4 CB-277 Update Process to Convert Submitted Version to Values

To ensure the version reviewed and approved by level two and level three is the same as what was submitted by level one, the values on the submitted form are converted to static values. This allows changes to be made to the data without impacting the form. Any changes to the data that will affect the form are then identified through the data movement report.



2.1.5 CB-278 Workflow Version Drop-Down Menu Reverse Chronological Order

The drop-down menu for the workflow overview has been updated to reverse chronological order as these versions are more relevant to the user. This makes selection quicker.

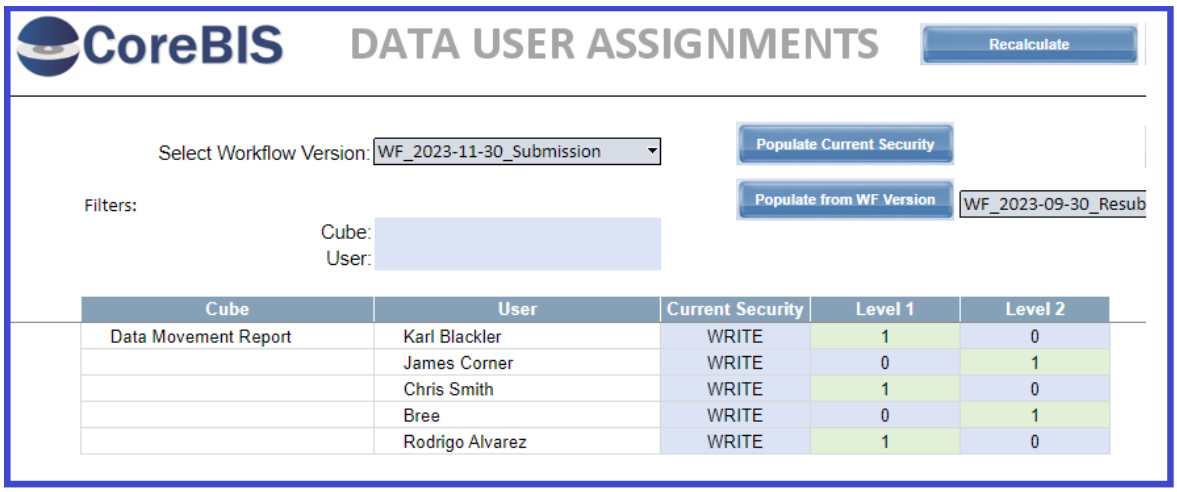


2.1.6 CB-280 Default Form to Submitted Version when Approver Opens Form

To avoid confusion when reviewing forms, the form task will default to the submitted version for level two and three reviewers after the form has been submitted by level one.

2.1.7 CB-281 Add Data Movement Report to Workflow Admin

The data movement report is added to the data user assignments screen in workflow admin to allocate level one and two approvers. This is added as a mandatory data task when Forms and then Data is selected when generating the workflow version. The Data movement report does not appear in the data selection screen as it cannot be excluded from the Workflow.



Cube	User	Current Security	Level 1	Level 2
Data Movement Report	Karl Blackler	WRITE	1	0
	James Corner	WRITE	0	1
	Chris Smith	WRITE	1	0
	Bree	WRITE	0	1
	Rodrigo Alvarez	WRITE	1	0